

Member State Filing Fee Chart

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Revisions made 7/10/17 to update DE fees.

State	Fees	For Additional Information, Please Reference:
Alabama	\$50 per form; \$25 for each informational form filed; or retaliatory (if higher); filing may not exceed \$3,500 per SERFF submission	http://www.aldoj.gov/PDF/Legal/2010-07-RevisionofSerff.pdf
Arkansas	Life, DI, and LTC policy/contracts, endorsements, certificates, riders, applications; annuity forms; \$50 per form or retaliatory (if higher)	http://www.insurance.arkansas.gov/PandC/Insurance%20Code%20%20related%20chapters/Chapter631.htm http://www.insurance.arkansas.gov/Legal/Rules/Rule57.pdf
Georgia	\$25 per unique form number on forms/advertisements/applications/etc. regardless of life or health product line / \$75 per rate filing or retaliatory (if higher); \$1,000 max per submission	https://www.oci.ga.gov/ExternalResources/Forms/AllForms/Filing%20Instructions.pdf
Hawaii	\$20 per approval for individual filings that include Accidental Death Benefits by rider, amendment, or endorsement, and/or DI Riders; \$20 for DI submissions; \$0 for all other filings.	http://cca.hawaii.gov/ins/insurers/rate_policy/
Illinois	\$50 per form; no fees for LTC advertising forms. Fees charged for a policy shall not exceed \$1,500.	http://www.ilga.gov/legislation/publicacts/fulltext.asp?Name=097-0603
Indiana	\$35 per form; \$35 per rate filing; filing may not exceed \$1000; or retaliatory (if higher)	http://www.in.gov/idoi/2588.htm
Kentucky	\$5 per filing or retaliatory (if higher); \$100 per filing on Credit Life; \$100 or retaliatory (if higher) for rate revision filings	http://insurance.ky.gov/Documents/advop0804.pdf
Louisiana	\$100 per policy per company (including group life filings); \$100 per applicable policy for submissions that consist of solely riders/ amendatory riders or endorsements; \$0 Applications. No charge for illustrations, advertising, rates, applications/supplemental applications, assumptions and information.	http://ia.la.gov/Products/ProductMatrix/
Maine	\$20 per Policy/Certificate (if more than one policy/certificate is included in filing then the filing fee would be \$20 per policy/certificate). If there is no Policy/Certificate in the filing (i.e. filing contains riders, endorsements, etc.) then the filing fee would be \$20.00 for the whole filing. \$20 per Rate filing	http://janus.state.me.us/legis/statutes/24-A/title24-Asec601.html
Maryland	\$125 per form (advertising and disclosure forms are excluded) / \$125 per rate filing	http://insurance.maryland.gov/Insurer/Documents/insurer-services/lhfilingrequirementsfees.pdf
Massachusetts	\$75 per policy; \$75 per filing for forms submitted separate from a policy; \$150 per rate or rule; no fees for LTC Advertising	http://www.mass.gov/ocabr/insurance/providers-and-producers/doi-regulatory-info/doi-regulatory-bulletins/2008-doi-bulletins/2008-19-guidelines-for-submission-of-fees.html
Minnesota	\$125 per policy and related forms; \$125 per filing for riders/applications/endorsements filed separate from a policy; no fees for advertising or marketing material	http://mn.gov/commerce-stat/pdfs/bulletin-2009-3.pdf
Mississippi	LIFE, ANNUITY & DI: \$15 per ind. policy; \$15 per group master policy; \$10 per rider/endorsement/amendment/application, etc.; \$7 per questionnaire; \$5 per resubmission charge for non-payment; LTC: \$50 for any other form filing required by law. Electronic-govt fee: \$1 per SERFF EFT transaction required	http://www.mid.ms.gov/companies/pdf/midskdfeetx.pdf
Missouri	\$50 per filing per company	http://insurance.mo.gov/industry/fees/index.php
Nevada	\$25 per rate, policy or contract filing packet; \$10 per rider, application or endorsement form submitted separately from the policy or contract filing; no fees for advertising	https://www.leg.state.nv.us/NRS/NRS-680B.html
New Mexico	\$30 per policy forms package; \$15 per incidental form; \$50 per rate	http://osi.state.nm.us/LifeHealthFiling/docs/serrf_feescheduleformrev1107doc.pdf
Ohio	\$50 per filing per company	http://www.insurance.ohio.gov/forms/documents/fees.pdf
Oklahoma	Policy: \$50, Master Policy: \$50, Certificates: \$50, Applications: \$25, Endorsements: \$25, Riders: \$25, Advertisement: \$25, Rates: \$25, Informational filings: \$25, Matrix: \$25, Variable contract with all attachments: \$200; OR Retaliatory if higher	http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=440289 36 § 348.1 - http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86263 36 § 628 - http://www.oscn.net/applications/oscn/DeliverDocument.asp?CiteID=86307
Rhode Island	\$40 per policy; \$40 per certificate; When riders, endorsements, applications, alternative paragraphs or rates are filed singly or in a package without policy or certificate forms, count the number of policies to which the forms will be attached, in which the paragraphs will be used, or with which the rates will be used and multiply by \$25 and then multiply by the number of companies to which the filing applies, if applicable. (Do not count the forms, paragraphs and/or rates, themselves); or Retaliatory (if higher)	http://www.dbr.ri.gov/documents/news/insurance/InsuranceBulletin2002-13.pdf
Texas	\$100 per policy/related forms; \$100 per rider, application, endorsement, or amendments filed separately from policy (\$50 if form is exempt); Matrix \$50 for each form number with a maximum fee of \$500; no fees for LTC advertising filings.	http://www.tdi.texas.gov/life/lhfee.html
Vermont	\$50 per life and annuity submission; \$150 per LTC and DI submission; or Retaliatory (if higher)	http://www.dfr.vermont.gov/insurance/rates-forms/life-and-annuity-regulatory-and-submission-requirements http://legislature.vermont.gov/statutes/section/08/107/04062a
West Virginia	\$50 per form filing; \$75 per rate filing; \$75 per rule filing	http://www.wvinsurance.gov/Company/FilingFees/tabid/273/Default.aspx

States Not Collecting Fees

Alaska, Arizona, Colorado, Idaho, Kansas, Michigan, Montana, New Jersey, North Carolina, Oregon, Puerto Rico, Utah, Virginia, Washington, Wisconsin, Wyoming

Non-Compacting States Not Collecting Fees

District of Columbia, New York

Non-Compacting States with Retaliatory Fees

North Dakota, South Dakota

States with Retaliatory Fees

Iowa, Nebraska, New Hampshire, Pennsylvania, South Carolina, Tennessee, Connecticut*

*Effective for filing July 18, 2017

Non-Compacting State Filing Fees

Delaware	\$150 per form, per company. Revised rates are \$100 per filing, per affected form. Advertising submissions are \$150 per filing, per company. Fraternal organizations do not require a filing fee.
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Web Reference:

<http://insurance.delaware.gov/wp-content/uploads/sites/15/2017/07/Universally-Applicable-Bulletin-002.pdf>