Agenda item 2. Review Charter and Workplan for 2025

The Compact Office went over the charter and workplan and duties of committee members.

The committee's 2025 workplan was reprioritized at the request of the officers and will now focus on two other items from the strategic plan; creating accelerated rulemaking procedures and an appeals process for form filing decisions.

Agenda item 3. Discuss Proposed Accelerated Rulemaking Process for Amending Existing Uniform Standards

The Compact Office provided PowerPoint slides explaining the current rulemaking process, also referring to a flow chart on the website illustrating the steps in the formal rulemaking process, which can be lengthy.

The Compact Office explained that the accelerated rulemaking process will be used to address errors/omissions or non-controversial items for which a compact standard may need an update. For example, non-forfeiture interest rate change based on NAIC model changes.

The Compact office asked if any of the states have statutes or regulations for accelerated rulemaking. The committee member from Rhode Island said that Rhode Island has a <u>direct file rule</u>, **42-35-2.11** (see below).

Agenda item 4. Discuss Proposed Appeals Procedures for Filers to Challenge Compact Decisions Regarding Compliance With Uniform Standards

The Compact Office said creating an appeals process for form filing decisions may be easier to get started on because Section 105 of Article X of the Compact charter already outlines an informal hearing process to be used to appeal filing decisions, though it does not include a timeline/time frame, a prescribed composition of the review panel, or how to designate a presiding officer.

Committee members suggested starting with the appeals process first because a framework is already laid out. Another member said creating accelerated rulemaking procedures will require the committee to address more philosophical issues. It was also suggested that the committee could work on both. Compact staff will map out a draft of an appeals procedure and report on the Rhode Island Rule at the next meeting of this committee in April.

Agenda item 5. Any other matters.

There were no other matters.

RHODE ISLAND RULE

42-35-2.11. Direct final rule.

If an agency proposes to promulgate a rule which is expected to be noncontroversial, it may use direct, final rulemaking authorized by this section and must comply with §§ 42-35-2.6 and 42-35-2.7. The proposed rule must be published in the state register and on the agency's website with a statement by the agency that it does not expect the proposed rule to be controversial and that the proposed rule takes effect thirty (30) days after publication if no objection is received. If no objection is received, the rule becomes final. If an objection to the rule is received from any person not later than thirty (30) days after publication of the notice of the proposed rule, the proposed rule does not become final. The agency shall publish on its agency website and file notice of the objection with the secretary of state for publication in the state register and may proceed with rulemaking.