



**MEETING OF THE MANAGEMENT COMMITTEE OF THE
INTERSTATE INSURANCE PRODUCT REGULATION COMMISSION**

**Thursday, July 31, 2025
3 pm ET / 2 pm CT / 1 pm MT / 12 pm PT / 11 am AKT**

Via WebEx

AGENDA

1. **Roll Call**
2. **Uniform Standards in Rulemaking Process:** Consideration of the Report of the Chair on Publication of the Product Standards Committee Recommendation for Notice and Comment

EXPLANATION: The action item is to consider ratification of the publication of certain Uniform Standards for comment. On June 9, at the direction of Director Dunning as Chair of the Insurance Commission pursuant to Article IV, Section 2(a) of the Bylaws, the Product Standards Committee recommendation for certain Uniform Standards was issued for publication and comment. The specific Uniform Standards are as follows:

New Uniform Standards

- Uniform Standards for Riders, Endorsements or Amendments Used to Effect Group Annuity Contract Changes
- Uniform Standards for Riders, Endorsements or Amendments Used to Effect Group Annuity Certificate Changes
- Additional Standards for Forms Used to Provide Tax Qualified Plan Provisions for Group Annuity Contracts
- Additional Standards for Graded Death Benefit for Group Term Life Insurance Policies and Certificates
- Additional Standards for Waiver of Premium Benefits for Total Disability and Other Qualifying Events for Group Term Life Insurance Policies and Certificates
- Additional Standards for Forms Used to Provide Tax Qualified Plan Provisions for Group Life Insurance Policies

Amended Uniform Standards

- Group Fixed Annuity Contract Uniform Standards
- Uniform Standards for Group Guaranteed Interest Contracts for Non-Variable Annuities
- Group Annuity Certificate Uniform Standards

3. **Public Hearing on the Proposed Group Life and Annuity Uniform Standards**

EXPLANATION: The Management Committee will receive oral comments on the Uniform Standards referenced in Agenda Item #2 as part of the rulemaking process. Written comments are due by August 8.

4. **Report of Adjunct Services Committee on Consultation and Advisory Services Office (CASO) Framework**

EXPLANATION: The Adjunct Services Committee will provide an update on the status of the CASO framework.

5. **Management Committee Consideration of Appointment to the Consumer Advisory Committee**

EXPLANATION: The action item is to consider an application to serve in an open seat on the Consumer Advisory Committee at the recommendation of the Compact Officers f. Further information will be sent to the members of the Management Committee in advance of the meeting.

6. **Any Other Matters**

7. **Adjourn**

Members of the Management Committee in Attendance

Director Eric Dunning, Chair, Nebraska

Commissioner Allan McVey, Vice Chair, West Virginia

Barbara Richardson, Arizona

Jeff Varga as a designated representative for Director Ann Gillespie, Illinois

Sherri Cullen as a designated representative for Commissioner Michael Caljouw, Massachusetts

Julie Merriman as a designated representative for Director Anita Fox, Michigan

Robert Croom as a designated representative for Commissioner Mike Causey, North Carolina

Laura Miller as a designated representative for Director Judith French, Ohio

Shannen Logue as a designated representative for Commissioner Michael Humphreys, Pennsylvania

Mary Block as a designated representative for Commissioner Kaj Samsom, Vermont

Mary Ashby Brown as a designated representative for Commissioner Scott White, Virginia

Commissioner Jeff Rude, Wyoming

Members of the Commission and Department Staff in Attendance

Yada Horace, Alabama

Sarah Bailey, Alaska

Sydney Sloan, Colorado

Eric Vieweg, Connecticut

Jessica Luff, Delaware

Ray Nagasawa, Hawaii

Shannon Hohl, Idaho

Victoria Hastings, Indiana

Julie Holmes, Kansas

Joelle Craft, Louisiana

Tim Schott, Maine

Nour Benchaaboun, Maryland

Jo LeDuc, Missouri

Victoria Fowler, New Hampshire

Dianne Simmons, New Mexico

Chrystal Bartuska, North Dakota

Kurt Cagle, Oklahoma

Lauren Bodine, Oregon

Director Elizabeth Kelleher Dwyer, Rhode Island, Past Chair

Frank Marnell, South Dakota

Roderick Brooks, Tennessee

Heidi Clausen, Utah

Rob Lee, Washington

Consumer Advisory Committee

Dick Webber

Industry Advisory Committee

Wayne Mehlman, ACLI

Sarah Wood, IRI Insurance

Compact Staff in Attendance

Karen Schutter, Executive Director

Becky McElduff, Chief of Operations & Chief Counsel
Sara Dubsky, Chief of Staff
Dan Bradford, Director of Regulatory Affairs
Karen Givens, Director of Product Review Operations
Mindy Bradford, Product Reviewer
Susan Ezalarab, Regulatory Coordinator Consultant
Sarah Neil, Communications and Outreach Coordinator
Cara Shackelford, Regulatory Specialist

Director Dunning call to order the meeting of the Management Committee. Ms. Schutter conducted the roll call of the Management Committee, the Commission, members of the Legislative and Consumer and Industry Advisory Committees.

Director Dunning proceeded to the second item on the Agenda, the ratification of the publication of certain Uniform Standards for comment. On June 9, at the direction of Director Dunning as Chair of the Insurance Commission pursuant to Article IV, Section 2(a) of the Bylaws, the Product Standards Committee recommendation for certain Uniform Standards was issued for publication and comment. The specific Uniform Standards are as follows:

- Uniform Standards for Riders, Endorsements or Amendments Used to Effect Group Annuity Contract Changes
- Uniform Standards for Riders, Endorsements or Amendments Used to Effect Group Annuity Certificate Changes
- Additional Standards for Forms Used to Provide Tax Qualified Plan Provisions for Group Annuity Contracts
- Additional Standards for Graded Death Benefit for Group Term Life Insurance Policies and Certificates
- Additional Standards for Waiver of Premium Benefits for Total Disability and Other Qualifying Events for Group Term Life Insurance Policies and Certificates
- Additional Standards for Forms Used to Provide Tax Qualified Plan Provisions for Group Life Insurance Policies
- Amendments to the Group Fixed Annuity Contract Uniform Standards
- Amendments to the Uniform Standards for Group Guaranteed Interest Contracts for Non-Variable Annuities
- Amendments to the Group Annuity Certificate Uniform Standards

Director Dunning asked Ms. Schutter to review the rulemaking process related to the development of the new uniform standards and amendments to certain Uniform Standards. Ms. Schutter reported the uniform standards were part of the Annual Prioritization list adopted by the Commission in November 2024. These uniform standards permit more products to be filed with the Commission as they fill in the holes of missing standards. The written comment period expires on August 8th. Director Dunning asked if there was a motion from a member of the Management Committee to receive the report and ratify the interim action to commence the rulemaking process. Commissioner McVey made the motion and Ms. Richardson seconded the motion. Hearing no other comments, Director Dunning called for a voice vote. The motion carried.

Director Dunning moved to the next item on the Agenda, the public hearing on the Uniform Standards and Amendments to the Uniform Standards published on June 9th. Mr. Mehlman reported the ACLI submitted written comments to the Compact Office on July 31st. Director Dunning asked Mr. Mehlman to summarize the comments. Mr. Mehlman explained the comments pertained to the scope and definitions of employer and non-employer groups in the Group Fixed Annuity Contract Uniform Standards. Hearing no other comments, Director Dunning ended the public hearing.

Director Dunning proceeded to the next item on the Agenda and asked Ms. Richardson to provide a report on the Adjunct Services Committee on the Consultation and Advisory Services Office Framework. Ms. Richardson reported that the Adjunct Services Committee was formed to consider ideas generated through

the Compact Roundtables and other strategic discussions. The Adjunct Services Committee has developed and refined a Consultation and Advisory Services Office framework aimed at facilitating voluntary collaboration among states and companies to review product or benefit features outside the Uniform Standards' scope, ensuring alignment with the Compact's authority. The Committee plans to present this updated framework and proposed pilot eligibility criteria, which focus on products currently outside the Compact's review capacity, at the August 12th Commission meeting to demonstrate benefits for stakeholders and initiate a pilot program. Ms. Richardson concluded her report. Hearing no comments, Director Dunning proceeded to the next item on the Agenda.

Director Dunning explained the next item was to appoint Laura Arp to the Consumer Advisory Committee. Ms. Arp is a former Nebraska regulator who is currently in private practice and primarily serves as the Claims Administrator for the Nebraska Excess Liability fund for medical malpractice. She is also an adjunct professor at University of Nebraska School of Law. She is recommended for this open seat by the Compact Officers and has completed the Conflict-of-Interest Statement, meeting all criteria for appointment. Director Dunning asked for a motion from the member of the Management Committee to appoint Ms. Arp to the Consumer Advisory Committee. Commissioner Rude made the motion and Ms. Richardson seconded the motion. The motion was carried by voice vote.

Director Dunning asked if there were any other matters. Hearing none, he asked for a motion to adjourn. Commissioner Rude made the motion and Commissioner McVey seconded the motion. The meeting was adjourned.