

**RULEMAKING COMMITTEE 2021 CALENDAR**  
**Proposed Calendar with Suggested Agenda Items and Goals**  
**(Dates, times, and agenda items subject to change)**

JANUARY

TBD Rulemaking Committee public call

- Presentation from Compacting States on their process and considerations given when reviewing and for approval of non-employer group types
- Presentation from companies or third-party filers on their process and considerations given when filing and issuing to non-employer group types

*26<sup>th</sup> Insurance Compact Commission Meeting – no reporting as meeting is devoted to consultant reports*

FEBRUARY

TBD Rulemaking Committee regulator-only call

- Committee Governance & Effectiveness Evaluation (review survey results, Charter and 2021 workplan)
- Discuss presentation and comments on processes for filing, reviewing, approving, and issuing products to non-employer group types
- Review information from regulator and industry surveys
- Outline proposed recommendation for expanding Uniform Standards to accommodate non-employer group types

TBD Rulemaking Committee regulator-only call

- Finalize and approve RMC Charter and 2021 Workplan
- Continue work on proposed recommendation for expanding Uniform Standards to accommodate non-employer group types

*23<sup>rd</sup> Management Committee Meeting – RMC to report on activities*

MARCH

TBD Rulemaking Committee regulator-only call

- Finalize recommendation for expanding Uniform Standards to accommodate non-employer group types for public comment.
- Discuss and draft a recommendation to amend the Rulemaking Rule to add a process when a change to an NAIC Model Act is made and incorporated by reference in the Uniform Standard

- Compact Office to provide overview of options for additional member safeguards to exercise participation in the Compact
- Draft recommendation to amend the Rulemaking Rule to add a process when a change to an NAIC Model Act is made and incorporated by reference in the Uniform Standard

TBD Rulemaking Committee regulator-only call

- Draft recommendation to amend the Rulemaking Rule to add a process when a change to an NAIC Model Act is made and incorporated by reference in the Uniform Standard

23<sup>rd</sup> *Insurance Compact Commission Meeting public via WebEx*

- *Present RMC Charter and 2021 Workplan to Commission for approval*

APRIL

Meetings

TBD Rulemaking Committee public call

- Receive comments from Compacting States, Legislative Committee, CAC and IAC and other interested parties on recommendation for expanding Uniform Standards to accommodate non-employer group types for public comment
- Provide overview of work on amending the Rulemaking Rule to address changes to referenced NAIC Model Acts and providing options for additional member safeguards to exercise participation in the Compact

TBD Rulemaking Committee regulator-only call

- Finalize recommendation for expanding Uniform Standards to accommodate non-employer group types to present to the Management Committee
- Finalize recommendation to amend the Rulemaking Rule to add a process when a change to an NAIC Model Act is made and incorporated by reference in the Uniform Standard for public comment
- Outline discussion questions with respect to options for additional member safeguards to exercise participation in the Compact for public comment

MAY

Meetings

TBD Rulemaking Committee regulator-only call

- Finalize discussion questions with respect to options for additional member safeguards to exercise participation in the Compact for public comment

TBD Rulemaking Committee public call

- Receive comments from Compacting States, Legislative Committee, CAC and IAC and other interested parties on the recommendation to amend the Rulemaking Rule to add a process when a change to an NAIC Model Act is made and incorporated by reference in the Uniform Standard
- Receive comments on the discussion questions with respect to options for additional member safeguards to exercise participation in the Compact

18<sup>th</sup> *Management Committee Meeting*

- *RMC to present recommendation for expanding Uniform Standards to accommodate non-employer group types*

JUNE

Meetings

TBD Rulemaking Committee regulator-only call

- Finalize recommendation to amend the Rulemaking Rule to add a process when a change to an NAIC Model Act is made and incorporated by reference in the Uniform Standard
- Discuss comments with respect to options for additional member safeguards to exercise participation in the Compact
- Draft recommendation on options for additional member safeguards to exercise participation in the Compact

TBD Rulemaking Committee regulator-only call

- Continue to finalize recommendation to amend the Rulemaking Rule to add a process when a change to an NAIC Model Act is made and incorporated by reference in the Uniform Standard
- Draft recommendation on options for additional member safeguards to exercise participation in the Compact

29<sup>th</sup> *Insurance Compact Commission public call via WebEx*

- *Present recommendation to amend Rulemaking Rule to add a process when a change to an NAIC Model Act is made and incorporated by reference in the Uniform Standard*

JULY

Meetings

TBD Rulemaking Committee regulator-only call

- Finalize recommendation on options for additional member safeguards to exercise participation in the Compact for public comment

- Compact Office to provide overview of the mix and match process including overview of Operating Procedures and Uniform Standards and examples of mix-and-match use
- Outline process for addressing Priority II, Action Item 7 to study and analyze the types of Mix and Match for product filings over the last three years and provide a report to the Commission with detailed information and recommendations to improve the process and further minimize the need for Mix and Match and include guidance, with input of Compacting States, regarding whether additional forms are required to be filed with Compacting States for a Compact-approved product (“Mix and Match Action Item”)

27<sup>th</sup> *Management Committee public call via WebEx*

*--Public hearing on RMC recommendation on whether to expand the Uniform Standards to accommodate non-employer group types*

*--RMC to report on activities*

## AUGUST

### Meetings

TBD Rulemaking Committee regulator-only call

- Identify key items for Compact Office to research its product filings and state filings working with Compacting State regulators on the uses of Mix and Match
- Identify questions, concerns and proposals to seek public comment with respect to the uses of Mix and Match

16<sup>th</sup> *Insurance Compact Commission in-person meeting (Columbus, OH)*

*--Consider for adoption the RMC recommendation on whether to expand Uniform Standards to accommodate non-employer group types*

## SEPTEMBER

### Meetings

TBD Rulemaking Committee public call

- Receive comments from Compacting States, Legislative Committee, CAC and IAC and other interested parties on the recommendation on options for additional member safeguards to exercise participation in the Compact
- Receive comments on questions, concerns and proposals to improve the process and further minimize the need for Mix and Match

TBD Rulemaking Committee regulator-only call

- Finalize recommendation on options for additional member safeguards to exercise participation in the Compact
- Compact Office report on its research of key items with respect to the uses of Mix and Match

- Outline recommendations to improve the process and further minimize the need for Mix and Match, including guidance and whether additional forms are required to be filed with Compacting States for a Compact-approved product

28<sup>th</sup> *Insurance Compact Commission public call via WebEx*

- *Commission considers amendments to Rulemaking Rule to add a process when a change to an NAIC Model Act is made and incorporated by reference in the Uniform Standard*
- *Present recommendation on options for additional member safeguards to exercise participation in the Compact*

## OCTOBER

### Meetings

TBD Rulemaking Committee regulator-only call

- Draft recommendation to improve the process and further minimize the need for Mix and Match, including guidance and whether additional forms are required to be filed with Compacting States for a Compact-approved product

TBD Rulemaking Committee regulator-only call

- Continue to draft recommendation to improve the process and further minimize the need for Mix and Match, including guidance and whether additional forms are required to be filed with Compacting States for a Compact-approved product

26<sup>th</sup> *Management Committee public call via WebEx*

- *Public hearing on recommendation on options for additional member safeguards to exercise participation in the Compact*

## NOVEMBER

### Meetings

TBD Rulemaking Committee regulator-only call

- Finalize recommendation to improve the process and further minimize the need for Mix and Match, including guidance and whether additional forms are required to be filed with Compacting States for a Compact-approved product for public comment
- Develop project plan and receive comments regarding Priority I, Action Item 9 of the *Insurance Compact Compass: Strategic Plan 2020 – 2022* to identify ways that the Uniform Standards can promote the use of plain, understandable language in forms, potentially through a generally applicable Uniform Standard or amendments to individual standards

TBD Rulemaking Committee public call

- Receive comments from Compacting States, Legislative Committee, CAC and IAC and other interested parties on the recommendation to improve the process and further minimize the need for Mix and Match, including guidance and whether additional forms are required to be filed with Compacting States for a Compact-approved product

30<sup>th</sup> *Management Committee public call via WebEx*

- *Management Committee to consider for approval recommendation on options for additional member safeguards to exercise participation in the Compact*

## DECEMBER

### Meetings

TBD Rulemaking Committee regulator-only call

- Finalize recommendation to improve the process and further minimize the need for Mix and Match, including guidance and whether additional forms are required to be filed with Compacting States for a Compact-approved product
- Continue to Develop project plan and receive comments regarding Priority I, Action Item 9 of the *Insurance Compact Compass: Strategic Plan 2020 – 2022* to identify ways that the Uniform Standards can promote the use of plain, understandable language in forms, potentially through a generally applicable Uniform Standard or amendments to individual standards

15<sup>th</sup> *Insurance Compact Commission Annual Meeting (San Diego, CA)*

- *Commission to consider for adoption the recommendation on options for additional member safeguards to exercise participation in the Compact*
- *Present recommendation to improve the process and further minimize the need for Mix and Match, including guidance and whether additional forms are required to be filed with Compacting States for a Compact-approved product*